



Atty. Dkt. No. 081356-0158

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Kazuma TOMIZUKA, et al.  
Title: METHOD FOR MODIFYING  
CHROMOSOMES  
Appl. No.: 09/763,362  
Filing Date: 4/23/2001  
Examiner: Thaian N. Ton  
Art Unit: 1632  
Confn No.: 4670

<b>CERTIFICATE OF EXPRESS MAILING</b>	
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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Commissioner for Patents  
P.O. Box 1450  
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Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

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**TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(d), before payment of the issue fee.

**RELEVANCE OF EACH DOCUMENT**

All of the documents are in English.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

**STATEMENT**

The undersigned hereby states in accordance with 37 CFR §1.704(d) that each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 CFR §1.56(c) more than thirty days prior to the filing of the information disclosure statement.

**FEE**

A credit card payment form in the amount of \$180.00 is enclosed in accordance with 37 CFR §1.17(p) to cover the fee associated with an information disclosure statement under 37 CFR §1.97(d) in the amount of \$180.00.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by the credit card payment form being unsigned, providing incorrect information resulting in a

rejected credit card transaction, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date September 14, 2005 By V.S. Mohan

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> Date Submitted: September 14, 2006 (use as many sheets as necessary)		Application Number	09/763,362
		Filing Date	4/23/2001
		First Named Inventor	Kazuma TOMIZUKA
		Group Art Unit	1632
		Examiner Name	Thaian N. Ton
Attorney Docket Number	081356-0158		
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Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
		BUETOW; et al.; Genome Maps V; <i>Science</i> , New Series, Vol. 265, No. 5181, Genome Issue; Sept 30, 1994, 2055-2070	
		COLLINS, et al.; A High-Density YAC Contig Map of Human Chromosome 22; <i>Nature</i> , Vol. 377; Supp. Sept. 28, 1995; 367-379	
		KUROIWA, et al.; Efficient Modification of a Human Chromosome By Telomere-Directed Truncation in High Homologous Recombination-Proficient Chicken DT40 Cells; <i>Nucleic Acids Research</i> , 1998, Vol. 26, No. 47; 3447-3448	
		WEICHHOLD, et al; The CD8a Locus Is Located on the Telomere Side of the Immunoglobulin K Locus at a Distance of 2Mb; <i>Genomics</i> 16; 1993; 512-514	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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